

5152 Stratford Road, Los Angeles, CA

## James Alan Preiss

jamespreiss.com - japreiss@caltech.edu - 919.522.9512

Postdoctoral Scholar    Computing + Mathematical Sciences    California Institute of Technology  
Advisors: Yisong Yue, Soon-Jo Chung, Adam Wierman.

**Interests:** Robotics, machine learning, control theory, optimization, and their intersections – both theoretical and practical.

**Goal:** Tenure-track professorship beginning in Fall 2024.

## Education

---

**Ph.D, Computer Science** 2022

*University of Southern California, Los Angeles, CA.*

Advisor: Gaurav Sukhatme. GPA: 3.80.

Areas: Robotics, machine learning, control theory, optimization, motion planning.

**B.S., Applied Mathematics; B.A, Photography** 2010

*The Evergreen State College, Olympia, WA.*

Evergreen uses written evaluations instead of numerical grades; evaluations and exam scores consistently showed performance among best in class (furnished upon request).

## Skills

---

**Programming Languages:** C++, C, Python, Matlab, C#, IDL,  $\LaTeX$ .

**Software/Libraries:** Linux, FreeRTOS, NumPy, PyTorch, Jax, TensorFlow, CVXPY, ROS.

**Robots:** Quadrotor: Bitcraze Crazyflie, Qualcomm Snapdragon Flight. Arm: Franka Emika Panda.  
Ground: iRobot PackBot.

**Miscellaneous:** Embedded development, board-level electronics, general DIY fabrication/repair.

## Employment

---

**Postdoctoral Scholar, California Institute of Technology.** Pasadena, CA. 2022 – ongoing

- Developing algorithms for online policy selection from both continuously-parameterized and finite policy class, with regret guarantees under adversarial time-varying dynamics and costs.
- Validating online policy selection algorithms for robotic applications.
- Studying the structural properties of planning problems that enable Monte Carlo Tree Search to find near-optimal plans without full exploration.
- Combining adaptive control and visual foundation models for navigation on rough terrain.

**Research Assistant, University of Southern California.** Los Angeles, CA. *2015 – 2022*

- Developed the framework of suboptimal coverings to measure continuous spaces of control tasks; bounded covering number for certain families of linear-quadratic regulator (LQR) problems.
- Combined deep learning and traditional control for robotic manipulation of deformable objects.
- Analyzed variance of policy gradient estimators for reinforcement learning in LQR systems.
- Latent-space system identification for generalization of RL policies to unknown test dynamics.
- Trajectory optimization for self-calibration using novel nonlinear observability objective.
- Kinodynamic formation change planning for large quadrotor teams with anytime refinement.
- Co-author and ongoing maintainer of *Crazyswarm* open-source platform for quadrotor multi-robot systems research.

**Research Intern, Google.** New York, NY. *Summer 2019*

- Compared several reductions from neural network architecture search to reinforcement learning.
- Based on experimentally derived insights, designed a novel reduction that outperforms a comparable evolutionary algorithm on benchmark data.

**Software Engineer, SAS Institute.** Cary, NC. *2014 – 2015*

- Developed core routines for interactive text mining, unsupervised typo correction, association rule mining, and mathematics expression layout engine.
- Prototyped VM/compiler for 5x speedup on user data transformations.
- Gave talks on modern C++ and performance optimization to senior staff.

**Associate Software Engineer, Geomagic/3D Systems.** Morrisville, NC. *2011 – 2014*

- Integrated real-time 3D laser and structured light scanners with CAD and metrology programs.
- Designed and implemented scanner engine, wire protocol, and APIs for v1.0 of major new product.
- Collaborated with hardware partners on robotic system for automated part inspection.

**Research Technician, Barlow Scientific.** Olympia, WA. *2010 – 2011*

- Researched and implemented state-of-the-art method for extracting blood vessel network topology and geometry from volumetric images with subpixel precision.
- Assembled electronic and mechanical subsystems of Imaging CryoMicrotome instruments, machined parts on manual and CNC tools, wrote control routines for sensors and actuators.

## Refereed Conference Publications

---

### **Online Adaptive Controller Selection in Time-Varying Systems: No-Regret via Contractive Perturbations.**

Yiheng Lin, James A. Preiss, Emile Anand, Yingying Li, Yisong Yue, and Adam Wierman.  
*Neural Information Processing Systems (NeurIPS)*. 2023 (to appear).

### **Online Switching Control with Stability and Regret Guarantees.**

Yingying Li, James A. Preiss, Na Li, Yiheng Lin, Adam Wierman, and Jeff Shamma.  
*Learning for Dynamics and Control Conference (LADC)*. 2023 – **Oral presentation** (10%).

### **Parameter Estimation for Deformable Objects in Robotic Manipulation Tasks.**

David Millard, James A. Preiss, Jernej Barbič, and Gaurav S. Sukhatme.  
*International Symposium on Robotics Research (ISRR)*. 2022.

### **Tracking Fast Trajectories with a Deformable Object using a Learned Model.**

James A. Preiss, David Millard, Tao Yao, and Gaurav S. Sukhatme.  
*IEEE International Conference on Robotics and Automation (ICRA)*. 2022.

### **Suboptimal Coverings for Continuous Spaces of Control Tasks.**

James A. Preiss and Gaurav S. Sukhatme.  
*Learning for Dynamics and Control Conference (LADC)*. 2021.

### **Resilient Coverage: Exploring the Local-to-Global Trade-off.**

Ragesh K. Ramachandran, Lifeng Zhou, James A. Preiss, and Gaurav S. Sukhatme.  
*IEEE/RSJ International Conference on Intelligent Robots and Systems (IROS)*. 2020.

### **Estimating Metric Scale Visual Odometry from Videos using 3D Convolutional Networks.**

Alexander S. Koumis, James A. Preiss, and Gaurav S. Sukhatme.  
*IEEE/RSJ International Conference on Intelligent Robots and Systems (IROS)*. 2019.

### **Resilience by Reconfiguration: Exploiting Heterogeneity in Robot Teams.**

Ragesh K. Ramachandran, James A. Preiss, and Gaurav S. Sukhatme.  
*IEEE/RSJ International Conference on Intelligent Robots and Systems (IROS)*. 2019.

### **Sim-to-(Multi)-Real: Transfer of Low-Level Robust Control Policies to Multiple Quadrotors.**

Artem Molchanov, T. Chen, W. Hönig, James A. Preiss, N. Ayanian, and G. S. Sukhatme.  
*IEEE/RSJ International Conference on Intelligent Robots and Systems (IROS)*. 2019.

### **Downwash-Aware Trajectory Planning for Large Quadrotor Teams.**

James A. Preiss, Wolfgang Hönig, Nora Ayanian, and Gaurav S. Sukhatme.  
*IEEE/RSJ International Conference on Intelligent Robots and Systems (IROS)*. 2017.

### **Trajectory Optimization for Self-Calibration and Navigation.**

James A. Preiss, Karol Hausman, Stephan Weiss, and Gaurav S. Sukhatme.  
*Robotics: Science and Systems (RSS)*. 2017.

### **Crazyswarm: A Large Nano-Quadcopter Swarm.**

James A. Preiss, Wolfgang Hönig, Gaurav S. Sukhatme, and Nora Ayanian.  
*IEEE International Conference on Robotics and Automation (ICRA)*. 2017.

## **Journal Publications**

---

### **Simultaneous Self-Calibration and Navigation using Trajectory Optimization.**

James A. Preiss, Karol Hausman, Stephan Weiss, and Gaurav S. Sukhatme.  
*International Journal of Robotics Research (IJRR)*. 2018. Invited, RSS 2017 special issue.

### **Trajectory Planning for Quadrotor Swarms.**

Wolfgang Hönig, James A. Preiss, T.K. Satish Kumar, Gaurav S. Sukhatme, and Nora Ayanian.  
*IEEE Transactions on Robotics (T-RO)*. 2018.

Observability-Aware Trajectory Optimization for Self-Calibration with Application to UAVs.

Karol Hausman, James A. Preiss, Stephan Weiss, and Gaurav S. Sukhatme. *IEEE Robotics and Automation Letters (RA-L)*, ICRA. Optimization framework for designing/modifying trajectories for sensor suite self-calibration.

## **Reviewed Workshop Papers**

---

### **A Closer Look at Reinforcement Learning for Neural Network Architecture Search.**

James A. Preiss, Eugen Hotaj, and Hanna Mazzawi.  
*ICLR Workshop on Neural Architecture Search*. 2020 – **Selected for contributed talk.**

### **Analyzing the Variance of Policy Gradient Estimators for the Linear-Quadratic Regulator.**

James A. Preiss, Sébastien M. R. Arnold, Chen-Yu Wei and Marius Kloft.  
*NeurIPS Workshop on Optimization Foundations for Reinforcement Learning*. 2019.

### **Understanding the Variance of Policy Gradient Estimators in Reinforcement Learning.**

Sébastien M. R. Arnold, James A. Preiss, Chen-Yu Wei and Marius Kloft.  
*Southern California Machine Learning Symposium*. 2019 – **Awarded best poster.**

### **Learning a System-ID Embedding Space for Domain Specialization with Deep Reinforcement Learning.**

James A. Preiss, Karol Hausman, and Gaurav S. Sukhatme.  
*NeurIPS Workshop on Reinforcement Learning under Partial Observability*. 2018.

## Teaching

---

**CSCI 545: Robotics** (Master's level). University of Southern California. 2017

Teaching assistant for Prof. Stefan Schaal. Wrote homework answer keys, supervised graders, held office hours, gave guest lecture on ROS.

**CSCI 646: Multi-robot systems** (Ph.D. level). University of Southern California. 2016

Teaching assistant for Prof. Nora Ayanian. Graded homework, held office hours, gave guest lectures on robotics software development and ROS.

## Invited Talks

---

**Analyzing the Variance of Policy Gradient Estimators in LQR Systems.**

Google NYC Research Intern Talk Series, Summer 2019, New York, NY.

**Learning Environment-Aware Acrobatic Flight from Video Demonstrations.**

Qualcomm Innovation Fellowship finalist presentation, April 2018. San Diego, CA.

**Multi-Sensor Fusion with Seamless Sensor Switching and Trajectory Optimization for Self-Calibration.**

Google Tech Talk, Tango team, October 2016. Mountain View, CA.

## Student Supervision

---

**Zhenghao Dai** ..... 2019 – 2021

Software development for Crazyswarm platform ↔ *Amazon*

**Alexander Koumis** ..... 2017 – 2019

Learning-based SLAM for scale recovery from videos ↔ *Google*

**Amlesh Sivanantham** ..... 2017 – 2019

Reinforcement learning for visual quadrotor navigation ↔ *Multiply Labs*

**Michael Leahy** ..... 2017

Design and construction of tilted-rotor hexacopter from raw materials ↔ *FluidLogic*

**Jiajun Bi** ..... 2016 – 2017

Onboard ROS-based visual-inertial SLAM setup for quadrotor ↔ *Amazon*

**Christian Wagner** ..... 2016

Specification and assembly of custom 180mm quadrotor platform ↔ *Google*

**Matt Buckley** ..... 2016

Simulation environment for Crazyflie quadrotor firmware testing ↔ *Google*

## Peer Review

---

Served as a reviewer for:

- IEEE Robotics and Automation Letters (RA-L) *2019 – 2023*
- Artificial Intelligence *2022 – 2023*
- IEEE Transactions on Robotics (T-RO) *2019, 2021*
- IEEE International Symposium on Multi-Robot and Multi-Agent Systems (MRS) *2019, 2021*
- Autonomous Robots (AURO) *2018, 2021*
- IEEE International Conference on Robotics and Automation (ICRA) *2017 – 2020*
- IEEE International Symposium on Safety, Security, and Rescue Robotics (SSRR) *2019*
- IEEE/RSJ International Conference on Intelligent Robots and Systems (IROS) *2017, 2018*
- Conference on Field and Service Robotics (FSR) *2017*

## Scholarships / Awards

---

- Best Poster Award - Southern California Machine Learning Symposium. *2019*
- Qualcomm Innovation Fellowship - Finalist (17% acceptance rate). *2018*
- University of Southern California Viterbi Graduate School Ph.D. Fellowship. *2015 – 2022*
- National Science Foundation Computer Science, Math, and Physics Scholarship. *2009 – 2010*